FNR Open Access Policy & Open Access Fund

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FNR Open Access Policy

For FNR (co)-funded projects that received funding decisions after 1 Jan 2017

- The FNR requires that Open Access options are selected for scientific publications resulting from FNR (co-)funding (exception: scientific monographs)

- Open Access Publications = Publications that can be accessed, read and re-used for free through the internet, as soon as possible, with the condition that work is attributed to author.

- Any routes possible (Green, Gold, Hybrid) but an electronic copy of the published version or final peer-reviewed manuscript accepted for publication must always be deposited in an Open Access repository for scientific publications.

- If Open Access fees (e.g. APCs) are paid, the FNR may reimburse the costs up to a ceiling (conditions apply)

- FNR Policy based on European principles and National Open Access Policy elaborated by FNR, 3LIU and BnL (approved in 2016)
FNR Open Access Policy

Policy available on fnr.lu

https://www.fnr.lu/fnr-beneficiaries/how-we-fund-research/

TYPES OF FUNDING

We have three overarching types of funding:

1. Person funding (AFR, ATTRACT, PEARL, KITS)
2. Project funding (CORE, INTER, OPEN, INTER Mobility, POC, PSP-CLASSIC, PSP-FLAGSHIP PRIDE, RESCOM)
3. Programme funding (NCER)

For most funding schemes, the grants will be transferred directly to the host institution, which is then responsible for administering the grant.

SELECTION PROCESS
FNR Open Access Policy & Open Access Fund

New funding instrument: Open Access Fund (from 2018)

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FNR Open Access Policy

How to comply

Step 1: Check that the policy applies to your paper

√ Original, peer-reviewed scientific publications

X editorials, conference proceedings, book chapters, textbooks, PhD theses or any publications that are not peer-reviewed

Step 2: Check that the publishing policy of the journal respects the FNR Open Access Policy conditions.

√ embargo: maximum six months (12 months in social sciences and humanities)

√ paper published under an open license, e.g. CC BY 4.0 (or equivalent)

√ persistent address where the full text of the publication can be found, accessed, read and downloaded (e.g. DOI)

Useful tool: http://www.sherpa.ac.uk/romeo/index.php
FNR Open Access Policy

How to comply

Step 3: Ensure that FNR funding, including the grant reference number, is acknowledged on all papers.

As detailed in ‘FNR Logos & Communication Guidelines’,

Step 4: Deposit an electronic copy of your paper in a suitable Open Access repository.

✓ publisher’s version

✓ final peer-reviewed and revision version of the manuscript accepted for publication (post-print)

Useful tool: http://www.sherpa.ac.uk/romeo/index.php

X pre-print

✓ suitable repository:
  - e.g. OrbiLu (Open Access not Limited Access)
  - e.g. PMC, zenodo.org

X social sites or websites, e.g. researchgate, lab’s website

Useful tool: http://www.opendoar.org/
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**New funding instrument: FNR Open Access Fund**

*Changes in how we fund scientific publications*

<table>
<thead>
<tr>
<th>CORE</th>
<th>CORE-PPP</th>
<th>OPEN</th>
<th>ATTRACT</th>
<th>PEARL</th>
<th>INTER</th>
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<tbody>
<tr>
<td>OPEN ACCESS FUND</td>
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**Previously**

- Researchers apply for money to cover costs related to *expected* scientific publications as part of project grants
- From 2017, they can longer do that

**New from 2018**

- Institutions apply for a *refund* of costs related to *actually published* scientific publications through the new FNR Open Access Fund
- But *ONLY* for Open Access publications and *ONLY* Open Access costs
FNR Open Access Fund

Eligible Applicants

✓ FNR beneficiaries of FNR-(co)funded projects, who received positive funding decisions after 1 January 2017 in the framework of the following programmes:
  • CORE, OPEN, INTER, INTER Mobility
  • PEARL, ATTRACT, PRIDE, NCER
  • CORE-PPP (now called BRIDGES), POC (now called JUMP), IPBG
  • AFR INDIVIDUAL and AFR-PPP (now called INDUSTRIAL FELLOWSHIPS) beneficiaries if they spend/spent >50% in Luxembourg under an employment contract with the local host institution

✓ PRIDE beneficiaries retained in the 2016 PRIDE call

✗ FNR beneficiaries of any programmes who received their original funding decision before 1 January 2017
Scientific articles and scientific monographs that are:

- Direct research outputs from FNR-(co)funded projects
- Peer-reviewed according to international standards
- Published under an open license, preferably CC BY 4.0 (or equivalent)
- Published up to 3 years after end of FNR grant
- And include acknowledgement of FNR funding

- Conference proceedings
- PhD theses
- Book chapters
- Any publications that are not peer-reviewed
- Publications that stem from FNR(co)-funded projects with funding decisions before 1 January 2017
New funding instrument: FNR Open Access Policy

Eligible costs

• **Scientific articles:**

√ article processing charges (APCs)

X submission fees, page charges, colour figures

The journal must be listed in DOAJ, Web of Science, Scopus, PubMed and/or have publicly available information on APCs

• **Scientific monographs:**

√ Production costs and costs of simultaneous Open Access publication

X printing costs

The monograph must have an ISSN/ISBN number, be listed in DOAB and the publishing venue must be transparent about their peer-review procedure.
**New funding instrument: FNR Open Access Policy**

*Eligible costs*

<table>
<thead>
<tr>
<th>Category</th>
<th>Cost (€)</th>
<th>Notes</th>
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<tbody>
<tr>
<td>Gold Open Access Article</td>
<td>Up to 2.500</td>
<td>Only APCs are reimbursed. Additional costs for publications in subscription venues which are not related to Open Access (e.g. submission fees) are not eligible for funding.</td>
</tr>
<tr>
<td>Hybrid Open Access Article</td>
<td>Up to 1.500</td>
<td>FNR funding covers production costs and the costs of simultaneous Open Access publication. Printing costs are not eligible for reimbursement via the FNR Open Access Fund.</td>
</tr>
<tr>
<td>Open Access Monographs</td>
<td>Up to 2.000</td>
<td>Authors are encouraged to choose journals with lower APCs where possible. In any case, the FNR contribution for a given publication may not exceed the costs incurred by the applying institution eligible at FNR.</td>
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*Where publications are shared with authors not funded by the FNR, the maximal threshold for reimbursement may be reduced, subject to available budget.*
FNR Open Access Policy & Open Access Fund

How it works

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### How it works

#### For 3LIU:

<table>
<thead>
<tr>
<th>Year</th>
<th>Action</th>
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<tbody>
<tr>
<td>2017</td>
<td>Researchers publish</td>
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<td>2018</td>
<td>3LIU submit list of publications from 2017</td>
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<td>FNR checks eligibility</td>
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<td>FNR refunds 3LIU</td>
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#### For others (institutions and individuals):

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<th>Year</th>
<th>Action</th>
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<tr>
<td>2017</td>
<td>Researchers publish</td>
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<tr>
<td>2018</td>
<td>Researchers submit form for each publication</td>
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<td>FNR checks eligibility</td>
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<td>FNR refunds researcher</td>
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New funding instrument: FNR Open Access Policy

Pre-defined publication list (Excel sheet)

<table>
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<tr>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>E</th>
<th>F</th>
<th>G</th>
<th>H</th>
<th>I</th>
<th>J</th>
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<tbody>
<tr>
<td>1</td>
<td>Open Access Fund Publication List (predefined form)</td>
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<td>2</td>
<td>Please try to complete as much information as possible; if a particular column is not applicable, leave it blank; see explanations</td>
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- ALL publications should be listed, not just Open Access
- Any publication should only be listed once in any given publication list submitted to the FNR
- Costs should only be claimed by one beneficiary
FNR Open Access Fund

More information

https://www.fnr.lu/funding-instruments/open-access-fund/

OVERVIEW

CAREER STAGE(S): PhD Candidate (with Masters), Postdoc & Junior Researcher, Established Researcher, Leading Researcher,
FUNDING TYPE(S): Support for scientific events & science communication,
DEADLINE: 30-06-2018 14:00 CET (or first working day thereafter)

PROGRAMME SUMMARY

The aim of the OPEN ACCESS FUND is to promote the free access to research results from FNR-(co)funded projects.

The programme provides financial support to cover article processing charges that may arise through the publication of peer-reviewed research results in Open Access.
For all proposals with funding decisions received before January 1\textsuperscript{st} 2017:

► specific rules for funding of publication costs approved will remain valid until the end of the contract with FNR.

► publications from these projects are \textit{not} eligible for reimbursement through the Open Access Fund instrument.

► FNR advises to ensure Open Access to all publications resulting from these projects.
FNR Open Access Policy & Open Access Fund

Questions

1. Open Access – What is it and Why?
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https://www.fnr.lu/funding-instruments/open-access-fund/